

Atty. Docket No.
006004.00013



PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Ronald Barchi et al.

U.S. Pat. App. No.: 09/921,015

Filed: August 1, 2001

Examiner: ~~S. M. Smith~~ Mofiz

Group Art Unit: ~~2652~~ 2175

For: ATTRIBUTE RULE ENFORCER FOR A DIRECTORY

INFORMATION DISCLOSURE STATEMENT

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Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to their duty of disclosure under 37 C.F.R. §1.56, Applicants bring the following documents to the attention of the Examiner in the above-identified patent application:

- (1) U.S. Patent No. 5,983,234 to Tietjen et al., issued November 9, 1999;
- (2) U.S. Patent No. 6,101,541 to Ellessen et al., issued August 8, 2000;
- (3) U.S. Patent No. 6,119,122 to Bunnell, issued September 12, 2000; and
- (4) U.S. Patent No. 6,377,950 to Peters et al., issued April 23, 2002.

A PTO-1449 form is included herewith listing these documents, and a copy of each of these documents is attached. These documents were cited in an International Search Report for a related PCT application, a copy of which is also attached.

The International Search Report is in English, and each of the cited documents is printed in the English language. Applicants therefore respectfully urge that further comment is unnecessary in accordance with 37 C.F.R. 1.98(a)(3). However, Applicants respectfully point out that the International Search Report classifies three of these documents in category A, one of these documents in category P, and one of these documents in categories T and E.

A first Official Action has not yet issued for this application. Therefore, it is respectfully urged that no fees are required for the Examiner's consideration of the documents listed in this Information Disclosure Statement.

It is respectfully requested that the documents listed above be considered by the Examiner in the above-identified patent application and that they be made officially of record therein. It is further requested that a listing of the same appear on the face of any patent that may issue from this application.

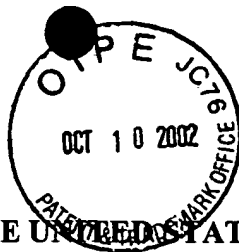
Respectfully submitted,

BANNER & WITCOFF, LTD.

By: Thomas L. Evans
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October 9, 2002

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U.S. Pat. App. No.: 09/921,015

Filed: August 1, 2001

Examiner: ~~S. M. H. H. H.~~ MOF7

Group Art Unit: ~~2072~~ 2175

For: ATTRIBUTE RULE ENFORCER FOR A DIRECTORY

STATEMENT UNDER 37 C.F.R. §1.704(d)

Assistant Commissioner for Patents
Washington, D.C. 20231

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Sir:

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In accordance with 37 C.F.R. 1.704(d), Applicants hereby state that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office (i.e., from the U.S. Patent and Trademark Office acting in its capacity as an International Searching Authority under the Patent Cooperation Treaty) in a counterpart PCT application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of the attached Information Disclosure Statement.

Respectfully submitted,

BANNER & WITCOFF, LTD.

By: _____

Thomas L. Evans

Thomas L. Evans, Reg. No. 35,805

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October 9, 2002

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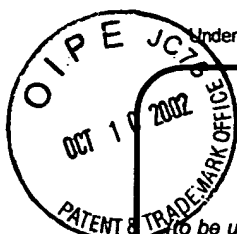
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Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/921,015
Filing Date	August 1, 2002
First Named Inventor	Ronald S. Barchi
Group Art Unit	2072 2175
Examiner Name	S. J. J. J. MOFIB
Attorney Docket Number	006004.00013

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Total Number of Pages in This Submission 5

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☐ Amendment / Response
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below)
 - (1) PTO-1449 Form
 - (2) Cited documents (4)
 - (3) Statement Under 37 C.F.R. §1.704(d)

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Remarks

The Commissioner is hereby authorized to charge any necessary fees, including any fees under 37 CFR §1.16 or 37 CFR §1.17, to Deposit Account No. 19-0733.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Thomas L. Evans (Reg. No. 35,805)
Signature	<i>Thomas L. Evans</i>
Date	October 9, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: _____

Typed or printed name			
Signature		Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

